



3624-2W

PATENT
Attorney Docket No. 053775-5001
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: McGill et al.)	
)	Examiner: Unknown
Application No.: 09/857,496)	
)	Group No.: 3624
Filed: June 5, 2001)	
)	
For: DERIVATIVE SECURITIES AND)	
SYSTEM FOR TRADING SAME)	

PRELIMINARY AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following is respectfully submitted for entry prior to examination of this application.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 14 of this paper.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this paper and the documents and/or fees referred to herein as transmitted, submitted or enclosed are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Name: Daniel H. Golub Signature:  Date: March 9, 2005